

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****( Not for submission under 37 CFR 1.99)**

Application Number		10551101
Filing Date		2005-09-26
First Named Inventor	Mueller	
Art Unit	3732	
Examiner Name		
Attorney Docket Number	ZP192-05009	

**U.S. PATENTS**[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6540748	B2	2003-04-01	Lombardo	
	2	6547790	B2	2003-04-15	Harkey III et al.	
	3	6554834	B1	2003-04-29	Crozet et al.	
	4	6565565	B1	2003-05-20	Yuan et al.	
	5	6565567	B1	2003-05-20	Haider	
	6	6582436	B2	2003-06-24	Schlapfer et al.	
	7	6613050	B1	2003-09-02	Wagner et al.	
	8	6623485	B2	2003-09-23	Doubler et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10551101
Filing Date	2005-09-26
First Named Inventor	Mueller
Art Unit	3732
Examiner Name	
Attorney Docket Number	ZP192-05009

9	6641586	B2	2003-11-04	Vaneur	
10	6660004	B2	2003-12-09	Barker et al.	
11	6702817	B2	2004-03-09	Beger et al.	
12	6706045	B2	2004-03-16	Lin et al.	
13	6709435	B2	2004-03-23	Lin	
14	6716214	B1	2004-04-06	Jackson	
15	6726689	B2	2004-04-27	Jackson	
16	6730092	B2	2004-05-04	Songer	
17	6730125	B1	2004-05-04	Lin	
18	6736820	B2	2004-05-18	Biedermann et al.	
19	6740086	B2	2004-05-25	Richelsoph	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10551101
Filing Date		2005-09-26
First Named Inventor	Mueller	
Art Unit	3732	
Examiner Name		
Attorney Docket Number	ZP192-05009	

20	6783527	B2	2004-08-31	Drewry et al.	
21	6843791	B1	2005-01-18	Serhan	
22	6858030	B2	2005-02-22	Martin et al.	
23	6858031	B2	2005-02-22	Morrison et al.	
24	6869432	B2	2005-03-22	Schlapfer et al.	
25	6887242	B2	2005-05-03	Doublier et al.	
26	6951561	B2	2005-10-04	Warren et al.	
27	6964666	B2	2005-11-15	Jackson	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S. PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10551101
Filing Date		2005-09-26
First Named Inventor	Mueller	
Art Unit	3732	
Examiner Name		
Attorney Docket Number	ZP192-05009	

FOREIGN PATENT DOCUMENTS								Remove
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	8707134	WO	A1	1987-12-03	Bumpus et al.		<input type="checkbox"/>
	2	9009156	WO	A1	1990-05-23	Vignaud et al.		<input checked="" type="checkbox"/>
	3	9101115	WO	A1	1991-02-07	Biedermann et al.		<input checked="" type="checkbox"/>
	4	9101691	WO	A1	1991-02-21	Mamay		<input checked="" type="checkbox"/>
	5	9116020	WO	A1	1991-10-31	Puno et al.		<input type="checkbox"/>
	6	9203100	WO	A1	1992-03-05	Frigg		<input checked="" type="checkbox"/>
	7	9814142	WO	A1	1998-04-09	Larsen et al.		<input type="checkbox"/>
	8	9834552	WO	A1	1998-08-13	Ray		<input type="checkbox"/>
	9	9965414	WO	A1	1999-12-23	Nichols		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10551101
Filing Date		2005-09-26
First Named Inventor	Mueller	
Art Unit	3732	
Examiner Name		
Attorney Docket Number	ZP192-05009	

10	9965415	WO	A1	1999-12-23	Nichols		<input type="checkbox"/>
11	0019923	WO	A1	2000-05-13	Yuan		<input type="checkbox"/>
12	0057801	WO	A1	2000-10-05	Nichols		<input type="checkbox"/>
13	0059388	WO	A1	2000-10-12	Middleton		<input type="checkbox"/>
14	0061011	WO	A1	2000-10-19	Castro		<input type="checkbox"/>
15	0152758	WO	A1	2001-07-21	Yuan et al.		<input type="checkbox"/>
16	02069854	WO	A1	2002-09-12	Kim		<input type="checkbox"/>
17	167228	GB		1921-07-26	Darker		<input type="checkbox"/>
18	812248	GB		1959-04-22	General American Transportation Corporation		<input type="checkbox"/>
19	1241292	GB		1971-09-24	Roaf et al.		<input type="checkbox"/>
20	2649042	DE	B1	1978-01-05	Ulrich		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10551101
Filing Date		2005-09-26
First Named Inventor	Mueller	
Art Unit	3732	
Examiner Name		
Attorney Docket Number	ZP192-05009	

21	3219575	DE	A1	1983-12-01	Kluger		<input type="checkbox"/>
22	3639810	DE	A1	1988-05-26	Ulrich		<input type="checkbox"/>
23	3722590	DE	C1	1988-12-08	Harms et al.		<input type="checkbox"/>
24	3916198	DE	A1	1990-11-22	Rackette		<input type="checkbox"/>
25	4107480	DE	A1	1992-09-10	Ulrich		<input type="checkbox"/>
26	89154436	DE		1990-07-26	Wilhelms et al.		<input type="checkbox"/>
27	92027458	DE		1992-06-11	Hauck et al.		<input type="checkbox"/>
28	94032319	DE		1994-04-21	Gnesbach		<input type="checkbox"/>
29	19507141	DE	A1	1996-09-12	Harms et al.		<input type="checkbox"/>
30	2309199	FR		1976-11-26	Downs Surgical Limited		<input type="checkbox"/>
31	2559378	FR	A1	1985-10-16	Edwards		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10551101
Filing Date		2005-09-26
First Named Inventor	Mueller	
Art Unit	3732	
Examiner Name		
Attorney Docket Number	ZP192-05009	

32	2615095	FR	A1	1988-11-18	Chopin		<input type="checkbox"/>
33	2624720	FR		1975-10-17	Lehner-Stimemann		<input type="checkbox"/>
34	2642643	FR	A1	1990-10-10	Lapresle et al.		<input type="checkbox"/>
35	2051581	GB		1981-01-21	Keene		<input type="checkbox"/>
36	2131300	GB		1984-06-20	Edwards		<input type="checkbox"/>
37	2154296	GB		1985-02-08	Edwards		<input type="checkbox"/>
38	2173104	GB		1984-02-28	Webb		<input type="checkbox"/>
39	0128058	EP	B1	1988-04-13	Cotrel		<input type="checkbox"/>
40	0242708	EP	A2	1987-10-28	Harms et al.		<input type="checkbox"/>
41	0284559	EP	A1	1988-09-28	Zielke		<input type="checkbox"/>
42	0348272	EP	B1	1989-06-13	Cotrel		<input type="checkbox"/>

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10551101
Filing Date		2005-09-26
First Named Inventor	Mueller	
Art Unit	3732	
Examiner Name		
Attorney Docket Number	ZP192-05009	

43	0443892	EP	B1	1991-08-28	Cotrel		<input type="checkbox"/>
44	0452792	EP	B1	1995-03-01	Slot		<input type="checkbox"/>
45	0468264	EP	B1	1992-01-29	Asher et al.		<input type="checkbox"/>
46	0535623	EP	A1	1993-04-07	Stahurski et al.		<input type="checkbox"/>
47	0747025	EP	B1	2002-09-11	Hansen et al.		<input type="checkbox"/>
48	0811357	EP	A1	1997-12-10	Bacelli et al.		<input type="checkbox"/>
49	0878170	EP	A3	1999-03-10	Lin et al.		<input type="checkbox"/>
50	0878171	EP	A1	1998-11-18	Lin et al.		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10551101
Filing Date	2005-09-26
First Named Inventor	Mueller
Art Unit	3732
Examiner Name	
Attorney Docket Number	ZP192-05009

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10551101
Filing Date	2005-09-26
First Named Inventor	Mueller
Art Unit	3732
Examiner Name	
Attorney Docket Number	ZP192-05009

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Robert Eichenberger/	Date (YYYY-MM-DD)	2006-06-08
Name/Print	Robert H. Eichenberger	Registration Number	42509

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.